OTP E 42015

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

·- Complete if Known					
Application Number	09/669,680				
Filing Date	Concurrently Herewith				
First Named Inventor	Spangler et al.				
Group Art Unit	2176				
Examiner Name	H. Herndon				
Attorney Docket Number	ARC920000079US1	_			

				U.S. PATENT DOCUM	ENTS	<del></del>
Examiner nitials*	Cite No.1	U.S. Patent Number	Document Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant/Inventor of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,115,708		Fayyad et al.	6,115,708	
				U.S. PATENT PUBLICA	ATIONS	
		U.S. Patent	Document	Name of Patentee or Applicant/Inventor	Date of Publication of	Pages, Columns, Lines,
Examiner Initials*	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
				<u> </u>		

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²					
KI		Cutting et al., "Scatter/Gather: A Cluster-Based Approach to Browsing Large Document Collections", Proc. Of the Annual International ACM SIGIR Conference, Vol. 15, No. 21, 1992, pp. 318-329.						
19		Al-Daoud et al., "New Methods for the Initialisation of Clusters", Pattern Recognition Letters Elservier Netherlands, Vol. 17, No. 5. 1996, pp. 451-454.						
B		Steinbach et al., "A Comparison of Document Clustering Techniques", Technical Report, 2000, pp. 1-20.						
By		Pena et al., "An Empirical Comparison of four initialization methods for the K-Means Algorithm", Pattern Recognition Letters, Vol. 20, No. 10, 1999, pp. 1027-1040.						
B		Jain et al., "Data Clustering: A Review", ACM Computing Surveys, Vol. 31, No. 3, September, pp. 264-323, 1999.						
15		Marina Meila, "An Experimental Comparison of Several Clustering and Initialization Methods", Technical Report, 1998, pp. 1-22.						

Examiner Signature	The Stor	Date Considered	3/17/07

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

OCT 28 2005

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

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		U.S. Paten	t Document	Name of Patentee or Applicant/Inventor	Date of Publication of	Pages, Columns, Lines,
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	FOREIGN PATENT DOCUMENTS								
				Foreign Patent Nu	mber	Name of Patentee or	Date of Publication		
Examiner Initials*	Cite No.1	Office <sup>3</sup>	Number	Kind Code <sup>5</sup> (if known)	Applicant/Inventor of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Τ°	

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²				
14		Bollacker et al., "A Scalable Method for Classifier Knowledge Reuse", International Conference of Houston, TX, Vol. 3, 1997, pp. 1474-1478.					
N		Bradely et al., "Refining Initial Points for K-Means Clustering" International Conference, 1998, pp. 91-99.					

Examiner Signature	The Son	Date Considered	3/17/07
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